



MINUTES OF A MEETING OF THE AUDIT AND GOVERNANCE COMMITTEE HELD ON 30th OCTOBER 2014

PRESENT: Councillor M Gant (Chair), Councillors J Chesworth,
M Couchman, J Faulkner, J Oates and P Seekings

Officers John Wheatley (Executive Director Corporate Services),
Stefan Garner (Director of Finance) and Angela
Struthers (Head of Internal Audit Services)

Visitors Joan Barnett (Grant Thornton)

29 APOLOGIES FOR ABSENCE

Apologies for absence were received from Councillor R Kingstone

30 MINUTES OF THE PREVIOUS MEETING

The minutes of the meeting held on 25th September 2014 were approved and signed as a correct record.

(Moved by Councillor J Chesworth and seconded by Councillor M Couchman)

31 DECLARATIONS OF INTEREST

There were no declarations of Interest.

32 THE ANNUAL AUDIT LETTER

The Report of Grant Thornton (External Auditor) was considered.

RESOLVED: That:

1. the Annual Audit Letter for Tamworth Borough Council be endorsed, and;
2. Joan Barnett gave an update on the Housing Benefit work which, subject to review, showed controls had improved. The final grant certification report is to be brought to the January meeting.

(Moved by Councillor M Gant and seconded by Councillor J Faulkner)

33 INTERNAL AUDIT QUARTERLY REPORT 2014/15 QUARTER 2

The Report of the Head of Internal Audit Services reporting on the outcome of Internal Audit's review of the internal control, risk management and governance framework in the 2nd quarter of 2014/15 – to provide members with assurance of the ongoing effective operation of an internal audit function and enable any particularly significant issues to be brought to the Committee's attention was considered.

RESOLVED: That

1. the quarterly report be endorsed;
2. a detailed update be brought to the next meeting on ICT audit recommendations and that the Director Technology and Corporate Programmes be requested to attend, and;
3. for the high priority recommendations outstanding, the appropriate Director be asked to report/explain why progress has not been made in their implementation and report back to the next Committee.

(Moved by Councillor J Chesworth and seconded by Councillor J Faulkner)

34 RISK MANAGEMENT QUARTERLY UPDATE

The Report of the Head of Internal Audit Services reporting on the Risk Management process and progress to date for the current financial year was considered.

RESOLVED: That the Corporate Risk Register be endorsed.

(Moved by Councillor M Gant and seconded by Councillor J Faulkner)

35 REGULATION OF INVESTIGATORY POWERS ACT 2000

The Report of the Solicitor to the Council and Monitoring Officer informing Members of the surveillance carried out under the Regulation of Investigatory Powers Act 2000 was considered.

RESOLVED: That the Quarterly RIPA Monitoring Report be endorsed.

*(Moved by Councillor M Couchman and seconded by
Councillor M Gant)*

36 AUDIT AND GOVERNANCE COMMITTEE TIMETABLE

The Committee reviewed the draft timetable

Chair